

City of York Council and Centre for Applied Human Rights

Human Rights and Equality Assessment Tool (HREA)

An Equality Assessment Tool is an evidence-based approach designed to help organisations ensure that any Policy, Criterion or Practice (PCP), is fair and does not create barriers or disadvantage any protected groups from participation. This covers both strategic and operational activities.

City of York Council (CYC) combines this approach with York's commitment as a Human Rights City to produce a Human Rights and Equality Assessment tool (HREA).

This document enables CYC to evidence its legal duty to give 'due regard' to those with protected characteristics under the Equality Act and consider Human Rights at the same time.

Whether a HREA is needed or not will depend on the likely impact that a PCP may have and relevance of the activity to Equality and Human Rights.

The HREA should be started when the need for a new PCP is first identified, or when an existing one is reviewed. It is essential to continue to update the HREA during the life of the PCP, as and when new information is learned. It is not complete until the PCP is complete.

Non-discrimination is a minimum standard. The development of the HREA should prompt critical discussion and highlight disproportionate impacts.

Balancing residents' rights and CYC duties can be very complex and sometimes there will be no 'win-win', so compromises or mitigations may need to be identified to ensure the best outcomes.

Finally, the value in a HREA is in both the short and long term, by investing in this process CYC will create robust, meaningful, and empowering policies that are more likely to stand the test of time.

Who is submitting the proposal?

Directorate	Children's Services		
Service Area	Disabled Children's Service		
Name of proposal	Preparation for Adulthood Strategy		
Lead Officer	Danielle Johnson		
Date Assessment Started	May 2026		
Date Assessment Completed	May 2026		
Names of those who contributed to the assessment			
Name	Job Title	Organisation	Area of Expertise
Karoline Silcock	Head of DCS	CYC	Children's Disability and SEND, PFA
Victoria Coyle	Head of SEND	CYC	SEND and Education
Charlotte Goss	Interim Head of LD	CYC	Adult Disability, PFA

Step 1 – Aims and intended outcomes

1.1	What is the purpose of the proposal
	<p>Please explain your proposal in plain English avoiding acronyms and jargon. Consider using Age 9 English.</p> <p>The Preparation for Adulthood (PfA) Strategy sets out a shared citywide approach to improving outcomes for children and young people with Special Educational Needs and Disabilities (SEND) as they move towards adulthood.</p> <p>The strategy aims to ensure that young people are supported earlier and more consistently to prepare for adult life, rather than support beginning only at transition points. It brings together education, social care, health, employment, housing and community services around a shared vision.</p> <p>The strategy focuses on helping young people:</p> <ul style="list-style-type: none">• Have good health and wellbeing• Develop independence and life skills• Access education, employment and training opportunities• Build relationships, belonging and community connections• Plan successfully for adulthood and transitions <p>The strategy proposes stronger partnership working, co-production with young people and families, improved pathways and the development of a visible Preparation for Adulthood offer through SEND Central.</p>
1.2	Are there any external considerations?
	Legislation / government directive / codes of practice etc.

The strategy is informed by:

- Children and Families Act 2014
- SEND Code of Practice 2015
- Care Act 2014 (transition assessments and adult social care duties)
- Equality Act 2010
- Human Rights Act 1998
- Working Together to Safeguard Children
- NHS Long Term Plan and SEND reforms
- Preparation for Adulthood national framework
- York Inclusion and Belonging Strategy (2025–2030)
- Autism and ADHD Strategy (2025-2030)
- York and North Yorkshire combined authority skills strategy
- Families FIRST reforms
- Local SEND and AP Improvement priorities
- Ofsted / CQC SEND inspection framework and outcomes focus

The strategy responds to national expectations regarding earlier preparation, integrated working and improved outcomes for young people with SEND

1.3 Who are the stakeholders and what are their interests?

Consider both internal and external stakeholders.

Young people with SEND – Better outcomes, increased independence, belonging, participation and clearer pathways into adulthood.

Parent Carer Forum (PCF) – Co-production, influence over service development, improved communication and accessible support.

Families and carers – Earlier planning, clearer transition pathways and improved support during transition to adulthood.

Children's Social Care – Improved transition planning, earlier intervention and better Preparation for Adulthood outcomes.

Adult Social Care – Earlier identification of need, improved planning and stronger transition arrangements.

SEND services, schools and colleges – Joined-up pathways, improved educational outcomes and shared responsibility for Preparation for Adulthood.

Integrated Care Board (ICB) and health partners – Improved health transitions, integrated working and coordinated support planning.

Supported Employment Locality Employment Advisors (SLEA) / employment services – Increased education, employment and training (EET) opportunities and pathways into work.

Housing partners – Development of independent living pathways and improved housing planning.

Voluntary and community sector organisations – Increased inclusion, participation and community opportunities.

Employers and businesses – Greater inclusive employment opportunities and stronger links to young people with SEND.

Children and Young People (CYP) Voice groups – Participation, lived experience and influence over service design and delivery.

1.4 What results / outcomes do we want to achieve and for whom?

Explain what outcomes you want to achieve for stakeholders, staff and the wider community. Demonstrate how the proposal links to the Council Plan (2023- 2027) and other corporate strategies and plans. Highlight how the proposal meets the objectives of Equalities, Affordability, Climate and Health.

The strategy aims to improve outcomes for young people with SEND by creating a more joined-up approach to Preparation for Adulthood across York.

Expected outcomes include:

- Earlier and more consistent transition planning
- Improved participation in education, employment and training
- Better independent living skills and housing pathways
- Improved health transitions
- Increased belonging, participation and community inclusion
- Stronger co-production with families and young people
- Reduced inequalities and variation in access to support

The strategy supports the Council Plan by contributing to:

- Equalities – reducing barriers for disabled young people
- Affordability – supporting earlier planning and preventing crisis responses
- Health – improving wellbeing and transitions
- Communities – improving inclusion and belonging
- Prevention – reducing escalation and improving independence

Step 2 – Resources utilised

3.1	What sources of data, evidence and consultation feedback have you used to help understand the impact of the proposal on equality rights and human rights?	
	Please consider a range of sources, including consultation exercises, surveys, feedback from staff, stakeholders, participants, research reports, the views of equality groups, as well your own experience of working in this area etc.	
	Source of data / supporting evidence	Reason for using this source
	PfA Rapid Review (Nov 2025)	Identified gaps and priorities
	Parent Carer Forum feedback	Co-production and lived experience
	Young person engagement Direct voice	Young person engagement Direct voice
	Transition data / EHCP audits	Understand needs
	Inclusion & Belonging Strategy	Strategic alignment
	Local Offer feedback	User experience
Disabled Children's Service Peer Review (Oct 2025)	Service development	

Step 3 – Screening the impacts or effects.

3.1	Equality-related obligations derive from the Equality Act of 2010 and the Human Rights Act of 1998.
	<p>Once you have engaged with stakeholders you will need to identify how this proposal impacts on their human rights and equalities.</p> <p>Although table one looks complex, its purpose is to facilitate an initial screening of equalities and human rights impacts of your proposal.</p> <p>Many human rights and equalities will not be affected by the decision you are seeking Executive or Council approval for and so can be left blank. The aim here is to identify pressure points regarding human rights and equalities that require attention.</p> <p>Please see the Appendix for details of the protected characteristics and human rights to consider</p> <p>The rights listed below in the first column are the relevant ones from the Human Rights Act, and the York Human Rights City Network Indicator Report (non-discrimination, education, health and social care, housing, a decent standard of living). The human rights in the Indicator Report were selected by residents of York as their priority rights. In the first row the protected characteristics under the Equality Act are listed, to which 'Everyone' has been added to capture impacts that affect everyone without distinction.</p>

Step 3.1 Table 1 – Screening the impacts or effects

Equalities Human Rights	Everyone	Age including financial, digital exclusion impacts	Disability Including financial, digital exclusion impacts	Gender	Gender reassign- ment Including Trans, Non- binary, Intersex	Marriage and civil partnership	Pregnancy and maternity	Race	Religion and belief	Sexual orientation	Carers inc financial, digital exclusion impacts	Low- income groups inc financial, digital exclusion impacts	Veteran, armed forces community	Those with experience of Care
Right to life*														
Prohibition of torture*														
Prohibition of slavery and forced labour*														
Right to liberty, movement and security (including freedom of movement)***	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Right to a fair trial*														
No punishment without law*														
Right to private and family life***	X	X	X	X	X	X	X	X	X	X	X	X	X	x
Freedom of thought, conscience, and belief***														
Freedom of expression***	X	X	X	X	X	X	X	X	X	X	X	X	X	x
Freedom of assembly***	X	X	X	X	X	X	X	X	X	X	X	X	X	x
Right to marry***														
Right to property***	X	X	X	X	X	X	X	X	X	X	X	X	X	x

Right to education***	X	X	X	X	X	X	X	X	X	X	X	X	X	x
Right to free elections***														
Right to housing***	x	X	X	X	X	X	X	X	X	X	X	X	X	X

Developed by HREAT

Step 3.2 Table 2 – Assessing the impact of your proposal

Here you will need to record the details on all the impacts identified for both Human Rights and those with Protected Characteristics.

Where you have identified an impact on a protected characteristic/human right in the table above, please indicate whether this is positive or negative and give a description of this impact. If you run out of rows, please add as necessary.

Rights clashes and restrictions

Where rights clash or are being restricted, you will need to explain how the decision has been taken, that the limitation on human rights is provided by law, for a legitimate purpose (justified), and proportionate (the minimum necessary restriction on rights).

Use the following guidance to inform your responses:

First, think about what equalities or rights might be engaged by the proposal, and describe the likely impact of the proposal, and provide an evaluation.

Use the following questions to inform your responses if human rights or equalities are limited or qualified in any way:

- Why are a person's rights being restricted?
- What is the problem being addressed by the restriction on someone's rights?
- Will the restriction lead to a reduction in the problem?
- Does that restriction involve a blanket policy, or does it allow for different cases to be treated differently?
- Does a less restrictive alternative exist?
- Has sufficient regard been paid to the rights and interests of those affected?
- Do safeguards exist against error or abuse?

Table 2

Protected Characteristics or Human Rights	Key findings / impacts	Positive (+) Negative (-) (Neutral (0)	High (H) Medium (M) Low (L)
Age	The strategy aims to improve earlier transition planning and outcomes for adolescents and young adults	+	M
Disability	This is the primary beneficiary group; with aim to improve access, participation and outcomes	+	H
Gender reassignment	Improved health transitions and guidance for young people following gender pathways	+	M
Marriage and Civil Partnership	The strategy supports relationships, independence and family planning	0	L
Pregnancy and Maternity	The strategy supports relationships, independence and family planning	0	L
Race	The Preparation for Adulthood Strategy aims to improve access, participation and outcomes for all young people with SEND regardless of race, ethnicity or cultural background	+	L
Religion and Belief	The strategy does not directly impact religion or belief.	0	L
Sex	The strategy is intended to benefit all young people equally irrespective of gender	0	L
Sexual Orientation	The strategy is inclusive of all young people and aims to promote belonging, participation and positive relationships	0	L

Other social – economic groups			
Carer	The strategy aims to support better planning and support for families during transition	+	M
Care Leavers	The strategy will support improved transitions for care experienced young people and better pathways into education and employment	+	M
Low income groups	Improved access and earlier support may improve inequalities for low income groups	+	L
Veterans and armed Forces	The strategy will continue to promote inclusive access regardless of background or family circumstances	0	L
Other			
Human Rights (list any rights impacted)			
Right to education	There is a strong focus on EET pathways and participation	+	H
Right to housing	The strategy develops independent living pathways	+	M
Freedom of expression	Is informed by Co-production and CYP voice	+	M

Step 4 – Gaps in data and knowledge

4.1	What are the main gaps in information and understanding of the impact of your proposal?		
	<p>When conducting your screening, you may have discovered gaps in data or knowledge that make it difficult to assess whether your proposal had a positive or negative impact on human rights/equalities.</p> <p>Please indicate actions you will take to resolve this gap.</p> <p>As your proposal progresses you may be able to resolve this knowledge gap –please indicate when it was resolved.</p>		
	Gaps in data or knowledge	Action to deal with this	Date resolved
	Housing pathway data	Data to be shared as planned within 3 year plan (Oct 2026)	

Step 5 - Maximising positive impacts

5.1	What has been done to optimise opportunities to advance equality / human rights or foster good relations?
	<p>Positive impacts have been strengthened throughout development of the strategy through extensive co-production with young people, families and the Parent Carer Forum, ensuring lived experience and participation remain central to the approach. The strategy adopts a citywide partnership model, bringing together education, health, social care and wider partners around a shared vision for Preparation for Adulthood.</p> <p>The strategy is underpinned by York's Inclusion and Belonging principles and places a strong emphasis on earlier intervention, prevention and improving outcomes before young people reach transition points. Development of the SEND Central Preparation for Adulthood offer will provide greater visibility and coordination of support, alongside improved integration across education, health and social care services.</p> <p>The strategy also promotes broader outcomes linked to adulthood including employment, independent living, housing, community participation and belonging. Overall, it aims to reduce inequalities by ensuring disabled young people have the same opportunities, aspirations and life chances as their peers as they move towards adulthood</p>

Step 6 – Recommendations and conclusions of the assessment

6.1	Having considered the potential or actual impacts you should be in a position to make an informed judgement on what should be done. In all cases, document your reasoning that justifies your decision.	
	Important: If there are any adverse impacts you cannot mitigate, please provide a compelling reason in the justification column. There are four main options you can take:	
	No major change to the proposal	The HREAT demonstrates the proposal is robust. There is no potential for unlawful discrimination or adverse impact and you have taken all opportunities to advance equality / human rights and foster good relations, subject to continuing monitor and review.
	Adjust the proposal	The HREAT identifies potential problems or missed opportunities. This involves taking steps to remove any barriers, to better advance quality or to foster good relations.
	Continue with the proposal (despite the potential for adverse impact)	You should clearly set out the justifications for doing this and how you believe the decision is compatible with our obligations
Stop and remove the proposal	If there are adverse effects that are not justified and cannot be mitigated, you should consider stopping the proposal altogether. If a proposal leads to unlawful discrimination it should be removed or changed.	

Option Selected	Conclusion / justifications
No major change to the proposal	<p>The HREA demonstrates the proposal is strongly aligned to equality and human rights principles.</p> <p>The strategy is expected to have significant positive impacts for disabled young people, carers and families through improved inclusion, participation and outcomes.</p> <p>The proposal should proceed with ongoing review.</p>

Step 7 – Summary of agreed actions resulting from the assessment

7.1	What action, by whom, will be undertaken as a result of the impact assessment.			
	List below the actions or mitigations that have been identified and who will be responsible to carrying them out. Add as many lines as you need.			
	Impact / Issue	Actions to be taken	Person Responsible	Timescale
	CYP Voice	Continue co-production and voice work	PFA Lead (Aaron Batley)	Ongoing
	Housing	Develop clear housing pathways	Housing and PFA lead	Within 3 year plan (Oct 2026)
	Employment	Expand EET Pathways	SLEA team manager 9Phil Taylor)	2026/27

Step 8 - Monitor, review and improve

8.1	How will the impact of your proposal be monitored and improved upon going forward?
	Consider how will you identify the impact of activities on protected characteristics, other marginalised groups and human rights going forward? How will any learning and enhancements be capitalised on and embedded?
	<p>Impact will be monitored through:</p> <ul style="list-style-type: none">• SEND and AP Partnership Board governance• PfA Strategic Group oversight• Co-production feedback• Audit and quality assurance activity• Transition timeliness reporting• EET and independence outcome measures• Local Offer feedback• Annual review of the strategy and implementation plan <p>Monitoring will include consideration of equality impacts and lived experiences for young people and families.</p>

Appendix A

Equality, Diversity & Inclusion (EDI): Protected characteristics

Under the public sector duties introduced by the Equality Act 2010 public bodies must have due regard for the need to:

- Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the act, such as the failure to make reasonable adjustments for disabled people
- Advance equality of opportunity between people who share a protected characteristic and those who do not
- Foster good relations between people who share a protected characteristic and those who do not

These duties relate to the nine protected characteristic groups defined by the Equality Act 2010 (outlined in the table below).

The Council recognises that a person's socio-economic background and whether they live in a rural or urban location can be important factors in determining fair access to services, employment and treatment. When carrying out analysis, you must also consider socio-economic issues and rural / urban location issues. In addition to the nine protected characteristic the HREAT includes the following equality groups:

- Carers
- Low income groups
- Veterans, armed forces community
- Experience of care/Other (other groups that are impacted)

Human rights differ from equalities in two main ways:

- First, human rights apply to everyone and not just groups with protected characteristics.
- Second, they allow for the balancing of rights, priorities, and risks. Many rights are not absolute and can be limited or qualified in particular circumstances.

The following guidance identifies which rights are most likely to be engaged by proposals in certain policy areas. This doesn't mean that you should not consider whether other rights might be engaged.

There are three types of human rights in the Human Rights Act:

Absolute rights: Cannot be breached in any circumstances e.g. right to life and to protection from torture and inhuman or degrading treatment.

Limited rights: can only be restricted in specific situations e.g. a person can be deprived of their liberty if they are convicted of an offence and imprisoned.

Qualified rights: human rights can be restricted if it is in the interests of the wider community or to protect other people's rights e.g. freedom of movement and assembly were restricted during the Covid-19 pandemic in the interests of public health.

As limited and qualified rights are not absolute, they sometimes have to be balanced in decision making. In Table 1, absolute rights are indicated with an *; limited rights with a **; and qualified rights with a ***.

Right	Description	Focus Area
Right to life	<p>Nobody, including the Government, can take someone's life away. Public authorities must take appropriate measures to safeguard life including by protecting people whose life might be in danger.</p> <p>Public authorities should also consider the right to life when making decisions that might endanger or affect life expectancy.</p> <p>When public officials may be involved in an instance when someone died, public authorities must investigate.</p>	<ul style="list-style-type: none"> • Benefits and money • Births, deaths and marriages • Children and families • Environment and animals • Health and social care • Housing • Planning and building • Waste and recycling
Right to liberty and security	<p>It focuses on protecting individuals' freedom from unreasonable detention, as opposed to protecting personal safety. However, there is case law from other jurisdictions where this right also covers personal safety in conditions other than detention.</p>	<ul style="list-style-type: none"> • Right to liberty and security

Freedom from torture and inhuman or degrading treatment	<p>Torture consists in causing very serious and cruel physical or mental pain or suffering.</p> <p>Inhuman treatment or punishment is treatment which causes intense physical or mental suffering. Degrading treatment means treatment that is extremely humiliating and undignified.</p> <p>Inhuman or degrading treatment could include:</p> <p>serious physical assault; very severe detention conditions or restraints; serious physical or psychological abuse in a health or care setting.</p>	<ul style="list-style-type: none"> • Children and families • Health and social care
Right to marry and start a family	<p>Right of men and women of marriageable age to marry and to start a family.</p>	<ul style="list-style-type: none"> • Births, deaths and marriages
Prohibition of slavery and forced labour	<p>Slavery is when someone owns someone else like a piece of property.</p> <p>Servitude is when someone provides services to a person for no reward and is unable to stop due to coercion.</p> <p>Forced or compulsory labour is when someone is forced to do work to which they have not agreed to, under the threat of punishment.</p>	<ul style="list-style-type: none"> • Children and families • Jobs, training and volunteering • People and communities
Right to a fair trial	<p>This right is triggered when someone is charged with a criminal offence and have to go to court, or</p> <p>a public authority is making a decision that has an impact on someone's civil rights or obligations.</p>	<ul style="list-style-type: none"> • Environment and animals • Health and social care • People and communities • Streets, roads and pavements
No punishment without law	<p>No one can be charged with a criminal offence for an action that was not a crime when it was committed.</p> <p>Public authorities must explain clearly what counts as a criminal offence so that people know when they are breaking the law.</p>	<ul style="list-style-type: none"> • Environment and animals • People and communities • Streets, roads and pavements

Right to property	<p>No public authority, without very good reason can take away one's property, which may include things like land, houses, objects, shares, licences, leases, patents, money, pensions and certain types of welfare benefits. This right applies to companies as well as individuals.</p>	<ul style="list-style-type: none"> • Benefits and money • Business • Council tax • Environment and animals • Housing • Planning and building • Travel and transport • Streets, roads & pavements • Waste and recycling
Right to private and family life, home and correspondence	<p>This includes one's right to determine their sexual orientation, lifestyle, and the way one looks and dresses. It also includes the right to control who sees and touches one's body. It further covers one's right to develop their personal identity and to forge friendships and other relationships, the right to participate in essential economic, social, cultural and leisure activities. In some circumstances, public authorities may need to facilitate the enjoyment of one's right to a private life, including their ability to participate in society.</p> <p>It also means that personal information about anyone (including official records, photographs, letters, diaries and medical records) should be kept securely and not shared without their permission, except in certain circumstances.</p>	<ul style="list-style-type: none"> • Benefits and money • Births, deaths and marriages • Children and families • Health and social care • Jobs, training and volunteering • Parking and permits • Planning and building • Schools and education • Sports and leisure
Right to free elections	<p>Public authorities must support the right to free expression by holding free elections at reasonable intervals. These elections must enable anyone to vote in secret.</p>	<ul style="list-style-type: none"> • Births, deaths and marriages • People and communities

<p>Freedom of thought, conscience and belief</p>	<p>This may include the right to change religion or beliefs, the right to put one's thoughts and beliefs into action, for example by exercising the right to wear religious clothing, the right to talk about one's own beliefs or take part in religious worship. Public authorities cannot stop anyone from practising their religion, without very good reason.</p> <p>This right protects a wide range of non-religious beliefs including atheism, agnosticism, veganism and pacifism.</p> <p>This includes the right to express views aloud (for example through public protest and demonstrations) or through published articles, books or leaflets, television or radio broadcasting, works of art, the internet and social media. It further protects the right to receive information from other people by, for example, being part of an audience or reading a magazine.</p>	<ul style="list-style-type: none"> • Business • Schools and education
<p>Freedom of expression</p>	<p>This includes the right to express views aloud (for example through public protest and demonstrations) or through published articles, books or leaflets, television or radio broadcasting, works of art, the internet and social media. It further protects the right to receive information from other people by, for example, being part of an audience or reading a magazine.</p>	<ul style="list-style-type: none"> • Business • Environment and animals • People and communities • Schools and education • Sports and leisure
<p>Freedom of assembly and association</p>	<p>This encompasses the right to form and be part of a trade union, a political party or any another association or voluntary group. Nobody has the right to force anyone to join a protest, trade union, political party or another association.</p>	<ul style="list-style-type: none"> • Environment and animals • Jobs, training and volunteering • People and communities • Travel and transport • Streets, roads and pavements

Right to education	<p>This right protects one's right to an effective education within the UK's existing educational institutions. It relates to primary, secondary, and higher education. Parents have a right to ensure that their religious and philosophical beliefs are respected during their children's education.</p>	<ul style="list-style-type: none"> • Children and families • Environment and animals • Jobs, training and volunteering • People and communities • Schools and education • Sports and leisure
Right to housing	<p>Adequate housing must provide more than four walls and a roof. For housing to be adequate, it must, at a minimum, meet the following criteria:</p> <p>Security of tenure, that is legal protection against forced evictions, harassment and other threats; availability of services, materials, facilities and infrastructure; affordability, which means that housing is not adequate if its cost threatens or compromises the occupants' enjoyment of other human rights; Habitability, which relates to physical safety or adequate space, as well as protection against the cold, damp, heat, rain, wind, other threats to health and structural hazards; accessibility, in that it must accommodate the specific needs of disadvantaged and marginalised groups; location, which means that it must not be cut off from employment opportunities, health-care services, schools, childcare centres and other social facilities, or it must not be located in polluted or dangerous areas; cultural adequacy, which means that it must respect and take into account the expression of cultural identity.</p>	<ul style="list-style-type: none"> • Benefits and money • Housing • People and communities • Planning and building • Waste and recycling